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> PATENT 5777-00201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/629,538

Confirmation No.: 6864

Filing Date:

July 29, 2003

Inventors:

Lockwood et al.

Title:

STRUCTURAL

CAROTENOID

ANALOGS FOR THE INHIBITION AND AMELIORATION OF

DISEASE

Examiner:

Waller, Robin

Art Unit:

1614

New Atty. Dkt. No.: 5777-00201/EBM

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office at

(703) 308-6916 on the date shown below:

Neal E. Persky

December 23, 2004

SECOND PRELIMINARY AMENDMENT

∞∞∞∞∞∞∞∞∞∞∞∞

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please amend the above-captioned application as follows:

Amendments to the Claims begin on page 2 of this paper. Remarks begin on page 7 of this paper.

Perendin Perendin